ATTY DOCKET NO. U.S. DEPARTMANTON COMMERCE SERIAL NO. Form PTO 1449 217675US0 10/036,508 APPLICANT LIST OF REFERENCES CITED BY APPLICANT Christelle STALLER, et al. GROUP FILING DATE January 7, 2002 1714 **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT SUB FILING DATE DATE NAME CLASS INITIAL NUMBER CLASS IF APPROPRIATE 20010041238 A1 11/15/2001 Christelle STALLER, et al. AB 4,456,726 06/26/84 Werner SIOL, et al. AC AD ΑE PECEIVED TO 12002 AF AG ΑH ΑI ΑJ ΑK AL AM AN FOREIGN PATENT DOCUMENTS DOCUMENT TRANSLATION DATE COUNTRY NUMBER YES NO 100 10 983 09/13/2001 GERMANY (with corr. US 20010041238 A1) AO Х WO 99/46337 09/16/99 WIPO (with English Abstract; corr. US Ser. No. Х AP 09/623,459) 06/15/83 EUROPE (with corr. US 4,456,726) 0 081 083 Х AQ AR AS ΑT ΑU ΑV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) Houben-Weyl, Methoden der Organischen Chemie, vol. XIV/1, pages 411-420, "PERLPOLYMERISATION MIT HILFE VON DISPERGATOREN", 1961 ΑW Houben-Weyl, Methoden der Organischen Chemie, vol. 14/1, pages 192-208, "ALLGEMEINES ZUR POLYMERISATION IN HETEROGENER PHASE", 1961 ΑX ΑY ΑZ **Date Considered** Examiner \*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Docket No.

217675US0/sm

### TATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Christelle STALLER, et al.

APR 0 5 2002

SERIAL NO:

10/036,508

GAU:

1714

FILED:

January 7, 2002

**EXAMINER:** 

FOR:

USE OF PHOSPHATE GROUP-CONTAINING POLYMER DISPERSIONS AS ADHESIVES

## INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

#### **RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

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WILLIAM E. BEAUMONT **REGISTRATION NUMBER 30,996** 



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DOCKET NO: 217675US0

Sheet <u>1</u> of <u>1</u>

SERIAL NO: 10/036,508

Group Art Unit: 1714

# STATEMENT OF RELEVANCY

# References AO through AX on Form 1449:

These references are discussed in the specification.

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